



18351 U.S.PTO

UTILITY PATENT APPLICATION TRANSMITTAL
 (Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 04860.P0734C2
(maximum 12 characters)

First Named Inventor Robert Don Strong

Title: ASSIGNING MEANINGS TO UTTERANCES IN A SPEECH RECOGNITION SYSTEM

Express Mail Label No. EV 409 360 568 US

ADDRESS TO: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. **Fee Transmittal Form (e.g., PTO/SB/17)**
 (Submit an original and a duplicate for fee processing)
2. **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. **Specification (Total Pages 27)**
 (preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. **Drawings(s) (35 USC 113) (Total Sheets 16)**
5. **Oath or Declaration (Total Pages 2)**
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
 (for Continuation/Divisional with Box 18 completed)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. **Application Data Sheet. (37 CFR 1.76)**
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
 (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

22859 U.S.P.T.O.
10/781998

ACCOMPANYING APPLICATION PARTS

9. _____ Assignment Papers (cover sheet & documents(s))
10. _____ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)

b. Power of Attorney
11. _____ English Translation Document (if applicable)
12. a. **Information Disclosure Statement (IDS)/PTO-1449**

b. Copies of IDS Citations
13. **Preliminary Amendment**
14. **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. _____ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. _____ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17A. _____ Claim for Foreign Priority
17B. Other: Submission of Formal Drawings transmittal and 16 sheets of drawings;
Petition for Suspension of Action (1 pg.).

17C. Pursuant to 37 C.F.R. 1.136(a)(3), applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 C.F.R. 1.16 and 1.17, to Deposit Account No. 02-2666.

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title (e.g., by way of preliminary amendment), or in an Application Data Sheet Under 37 C.F.R. 1.76:

Continuation _____ Divisional _____ Continuation-in-part (CIP) _____
Of Prior Application No.: 09/977,520 Examiner McFadden, Susan I. Group Art Unit 2655
(which is a continuation/ divisional/ CIP of prior application no. 07/999,016,
which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. Correspondence Address
Customer Number or Bar Code Label _____
or _____ (Insert Customer No. or Attach Bar Code Label here)
 Correspondence Address Below

NAME James C. Scheller, Jr.
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
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Seventh Floor
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Name (PRINT/TYPE): James C. Scheller, Jr. Registration No.: 31,195
Signature: J. C. Scheller Date: 2/18/2004

021804
18351 U.S.PTO**FEE TRANSMITTAL FOR FY 2004**

(FY 2004 Begins 10/01/2003)

TOTAL AMOUNT OF PAYMENT (\$) 900.00

Complete if Known:

Application No. _____

Filing Date February 18, 2004First Named Inventor Robert Don Strong

Examiner Name _____

Art Unit _____

Attorney Docket No. 04860.P0734C2 Applicant claims small entity status. See 37 CFR 1.27.**METHOD OF PAYMENT** (check all that apply) Check Credit Card Money Order Other None Deposit AccountDeposit Account Number : 02-2666

Deposit Account Name: _____

 The Director is Authorized to do the following with respect to the above-identified Deposit Account: Charge fee(s) indicated below. Credit any overpayments. Charge any additional fees during the pendency of this application. Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged. Charge fee(s) indicated below except for the filing fee.**FEE CALCULATION****1. BASIC FILING FEE**

<u>Large Entity</u>	<u>Small Entity</u>			
Fee Code	Fee (\$)	Fee Code (\$)	Fee Description	<u>Fee Paid</u>
1001	770	2001	385 Utility application filing fee	<u>770.00</u>
1002	340	2002	170 Design application filing fee	_____
1003	530	2003	265 Plant filing fee	_____
1004	770	2004	385 Reissue filing fee	_____
1005	160	2005	80 Provisional application filing fee	_____

SUBTOTAL (1)\$ 770.00**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	<u>6</u> - 20 = <u>0</u>	X <u>18.00</u>	= <u>0</u>
Independent Claims	<u>2</u> - 3 = <u>0</u>	X <u>86.00</u>	= <u>0</u>
Multiple Dependent Claims	<u>0</u>	X _____	= <u>0</u>

**Or number previously paid, if greater; For Reissues, see below.

Large Entity Small Entity

<u>Large Entity</u>	<u>Small Entity</u>		
Fee Code	Fee (\$)	Fee Code (\$)	Fee Description
1202	18	2202	9 Claims in excess of 20
1201	86	2201	43 Independent claims in excess of 3
1203	290	2203	145 Multiple dependent claim, if not paid
1204	86	2204	43 **Reissue independent claims over original patent
1205	18	2205	9 **Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)\$ 0

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code 1051	Fee Code 130	Fee 2051 65	Surcharge - late filing fee or oath
Fee Code 1052	Fee Code 50	Fee 2052 25	Surcharge - late provisional filing fee or cover sheet
Fee Code 1053	Fee Code 130	Fee 1053 130	Non-English specification
Fee Code 1812	Fee Code 2,520	Fee 1812 2,520	For filing a request for ex parte reexamination
Fee Code 1813	Fee Code 8,800	Fee 1813 8,800	Request for inter parties reexamination
Fee Code 1804	Fee Code 920*	Fee 1804 920*	Requesting publication of SIR prior to Examiner action
Fee Code 1805	Fee Code 1,840*	Fee 1805 1,840*	Requesting publication of SIR after Examiner action
Fee Code 1251	Fee Code 110	Fee 2251 55	Extension for reply within first month
Fee Code 1252	Fee Code 420	Fee 2252 210	Extension for reply within second month
Fee Code 1253	Fee Code 950	Fee 2253 475	Extension for reply within third month
Fee Code 1254	Fee Code 1,480	Fee 2254 740	Extension for reply within fourth month
Fee Code 1255	Fee Code 2,010	Fee 2255 1,005	Extension for reply within fifth month
Fee Code 1401	Fee Code 330	Fee 2401 165	Notice of Appeal
Fee Code 1402	Fee Code 330	Fee 2402 165	Filing a brief in support of an appeal
Fee Code 1403	Fee Code 290	Fee 2403 145	Request for oral hearing
Fee Code 1451	Fee Code 1,510	Fee 1451 1,510	Petition to institute a public use proceeding
Fee Code 1452	Fee Code 110	Fee 2452 55	Petition to revive - unavoidable
Fee Code 1453	Fee Code 1,330	Fee 2453 665	Petition to revive - unintentional
Fee Code 1501	Fee Code 1,330	Fee 2501 665	Utility issue fee (or reissue)
Fee Code 1502	Fee Code 480	Fee 2502 240	Design issue fee
Fee Code 1503	Fee Code 640	Fee 2503 320	Plant issue fee
Fee Code 1460	Fee Code 130	Fee 1460 130	Petitions to the Commissioner
Fee Code 1807	Fee Code 50	Fee 1807 50	Processing fee under 37 CFR 1.17(q)
Fee Code 1806	Fee Code 180	Fee 1806 180	Submission of Information Disclosure Stmt
Fee Code 8021	Fee Code 40	Fee 8021 40	Recording each patent assignment per property (times number of properties)
Fee Code 1809	Fee Code 770	Fee 2809 385	For filing a submission after final rejection (see 37 CFR 1.129(a))
Fee Code 1814	Fee Code 110	Fee 2814 55	Statutory Disclaimer
Fee Code 1810	Fee Code 770	Fee 2810 385	For each additional invention to be examined (see 37 CFR 1.129(b))
Fee Code 1801	Fee Code 770	Fee 2801 385	Request for Continued Examination (RCE)
Fee Code 1802	Fee Code 900	Fee 1802 900	Request for expedited examination of a design application
Fee Code 1504	Fee Code 300	Fee 1504 300	Publication fee for early, voluntary, or normal pub.
Fee Code 1505	Fee Code 300	Fee 1505 300	Publication fee for republication
Fee Code 1803	Fee Code 130	Fee 1803 130	Request for voluntary publication or republication
Fee Code 1808	Fee Code 130	Fee 1808 130	Processing fee under 37 CFR 1.17(i) (except provisionals)
Fee Code 1454	Fee Code 1,330	Fee 1454 1,330	Acceptance of unintentionally delayed claim for priority

Other fee (specify) Petition for Suspension of Action (1 page) 130.00

Other fee (specify) _____

SUBTOTAL (3)\$ 130.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:Typed or Printed Name: James C. Scheller, Jr.Signature: J. C. Scheller Date: 2/18/2004Reg. Number: 31,195 Telephone Number: (408) 720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: _____)
Robert Don Strong _____) Examiner: Not yet assigned
Application No. _____) Art Unit: Not yet assigned
Filed: February 18, 2004 _____)
For: ASSIGNING MEANINGS TO UTTERANCES _____)
IN A SPEECH RECOGNITION SYSTEM _____)

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

SUBMISSION OF FORMAL DRAWINGS

Dear Sir:

Transmitted herewith is a complete set of formal drawings, comprising sixteen (16) sheets and nineteen (19) figures for the above-identified application.

Respectfully submitted,
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Date: 2/18, 2004



James C. Scheller, Jr.
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EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EV 409 360 568 US Date of Deposit: February 18, 2004
I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

Connie Thayer
(Typed or printed name of person
mailing paper or fee)

Connie Thayer
(Signature of person mailing paper or fee)

2-18-04
(Date signed)